

# **COMBINED DECLARATION AND POWER OF ATTORNEY IN ORIGINAL APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name; and that

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE: POLYVINYL ALCOHOL COMPOSITIONS**

the specification of which

☐ is attached hereto, or,  
☒ was filed on 12/24/98 as United States  
 (MM/DD/YY)  
 Application Number 09/221,139 or PCT  
 International Application No. \_\_\_\_\_ and was  
 amended on \_\_\_\_\_ (if applicable).

(MM/DD/YY)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or PCT International application having a filing date prior to that of the application on which priority is claimed.

Prior Foreign Application(s) (if any):

Number	Country	Filing Date	Priority Not Claimed
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<u>None</u>	_____	_____	<input type="checkbox"/>
	(MM/DD/YY)		

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

09040257 042301

**Application No.****Filing Date**None(MM/DD/YY)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or the PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which become available between the filing date of the prior application and the national or PCT international filing date of this application:

**Application  
No.****Filing  
Date****Status (Patented)  
Pending, Abandoned)**None(MM/DD/YY)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Charles W. Almer, III.....(Reg. No. 36,731)  
 Elizabeth Anderson.....(Reg. No. 31,585)  
 John A. Artz.....(Reg. No. 25,824)  
 John S. Artz.....(Reg. No. 36,431)  
 Charles W. Ashbrook.....(Reg. No. 27,610)  
 Michael J. Atkins.....(Reg. No. 35,431)  
 Jean B. Barish.....(Reg. No. 34,118)  
 Todd M. Crissey.....(Reg. No. 37,807)  
 Evan J. Federman.....(Reg. No. 37,060)  
 M. Andrea Ryan.....(Reg. No. 28,649)  
 Evelyn D. Shen.....(Reg. No. 39,834)  
 Francis J. Tinney.....(Reg. No. 33,069)  
 Linda A. Vag.....(Reg. No. 32,071)

Address all telephone calls to John A. Artz at telephone number (973) 540-4401.

Address all correspondence to:

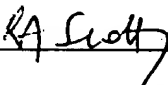
Charles W. Almer, III  
Attorney for Applicant  
Warner Lambert Company  
201 Tabor Road  
Morris Plains, NJ 07950

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, pursuant to 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or  
first inventor (given name,  
family name)

Robert A. Scott

First Inventor's signature



Date: February 17, 1999

Residence

Königin Elisabethplein 26, bus 24  
B-9100 Sint Niklaas  
Belgium

Citizenship

British

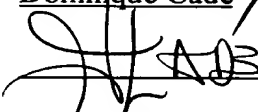
Post Office Address

Same

Full name of second inventor  
(given name, family name)

Dominique Cadé

Second Inventor's signature



Date: February 11, 1999

Residence

11 Rue des Americains  
F-68000 Colmar  
France

Citizenship

France

Post Office Address

Same

Full name of third inventor  
(given name, family name)

Xiongwei He

Third Inventor's signature

*Xiongwei He*

Date: February 11, 1999

Residence

3, rue du Jura  
68280 Andolsheim  
France

Citizenship

China

Post Office Address

Same

---

6512-11-CWA

ASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below.

In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): \_\_\_\_\_

Filing Date (if known): \_\_\_\_\_

Execution Date(s) of Application: \_\_\_\_\_

Title: POLYVINYL ALCOHOL COMPOSITIONS

FULL NAME OF INVENTOR : Robert A. Scott

Signature of Inventor : \_\_\_\_\_

Address :

Königin Elisabethplein 26,  
bus 4,  
B-9100 Sint Niklaas  
BELGIUM

09840257 042301

FULL NAME OF INVENTOR

:

~~Dominique Cadé~~

2

Signature of Inventor

:

Address

:

~~11, Rue des Americains  
F-68000 Colmar  
FRANCE~~

STATE OF

BELGIUM

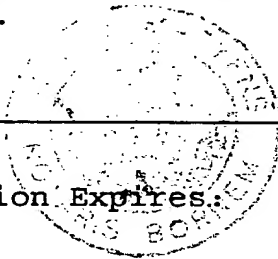
COUNTY OF

BORNEIM

On 24 the february 1999, the above-named inventor R. A. SCOTT personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

My Commission Expires:

(SEAL)



Notary Public

**NOTARIS H. MAEYENS**  
Nieuwstraat 1C - 2880 BORNEIM  
Tel. 03 / 889 27 89

FULL NAME OF INVENTOR

:

Xiongwei He

Signature of Inventor

Address

:

3, rue du Jura  
F-68280 Andolsheim  
FRANCE

STATE OF

COUNTY OF

On \_\_\_\_\_, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

Notary Public

My Commission Expires:

(SEAL)

ASSIGNMENT

VSA

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below.

In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): \_\_\_\_\_

Filing Date (if known): \_\_\_\_\_

Execution Date(s) of Application: \_\_\_\_\_

Title: POLYVINYL ALCOHOL COMPOSITIONS

FULL NAME OF INVENTOR : Robert A. Scott

Signature of Inventor : \_\_\_\_\_

Address : Königin Elisabethplein 26,  
bus 4,  
B-9100 Sint Niklaas  
BELGIUM

09840257 042301

FULL NAME OF INVENTOR

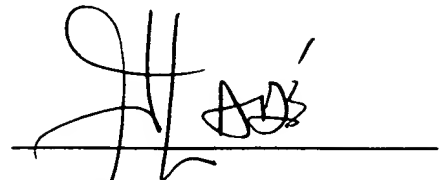
:

Dominique Cadé

2

Signature of Inventor

:



Address

:

11, Rue des Americains  
F-68000 Colmar  
FRANCE

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On \_\_\_\_\_, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

\_\_\_\_\_  
Notary Public

My Commission Expires:

(SEAL)

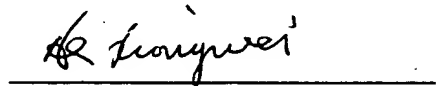
FULL NAME OF INVENTOR

:

Xiongwei He

Signature of Inventor

:



Address

:

3, rue du Jura  
F-68280 Andolsheim  
FRANCE

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On \_\_\_\_\_, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purpose set forth in said instrument.

\_\_\_\_\_  
Notary Public

My Commission Expires:

(SEAL)

0924037.04204



LEGALISATION DE SIGNATURE

Je soussigné Maître Paul ZIPPERT Notaire à la Résidence de 67390  
MARCKOLSHEIM 21 Rue Clémenceau (FRANCE),

légalise par ces présentes la signature de Monsieur Dominique CADE  
lequel m'a présenté sa carte d'identité n° 364683 délivrée le 15 Juin 1993  
par la PREFECTURE DU HAUT/RHIN à COLMAR, et la signature de Monsieur Xiongwei  
Hé lequel m'a présenté sa carte de résident n° 0000706778 délivrée par la  
PREFECTURE DU HAUT/RHIN à COLMAR en date du 7 Mai 1996.

Fait à MARCKOLSHEIM le 11 Février 1999

Le Notaire



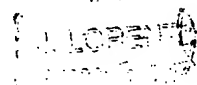
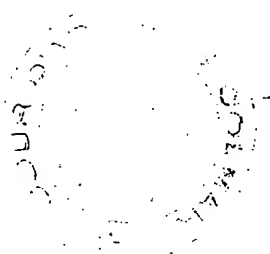
*Zippert*

ETATS-UNIS

*Mr Zippert*  
*Notaire*  
*son étude sise*  
*à Marckolsheim*

*15/02/99*

*122/99*

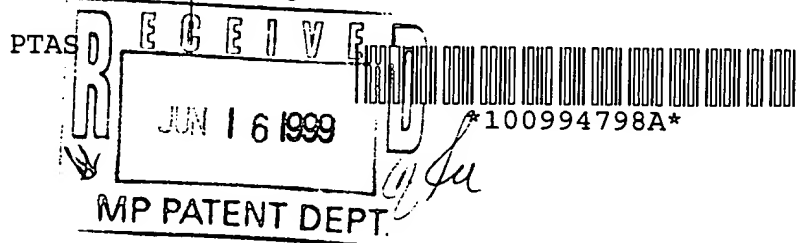




UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 08, 1999

WARNER-LAMBERT COMPANY  
ROSE ARMSTRONG  
LEGAL DIVISION, PATENTS  
201 TABOR ROAD  
MORRIS PLAINS, NJ 07950



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/16/1999

REEL/FRAME: 9835/0825  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SCOTT, ROBERT A.

DOC DATE: 02/24/1999

ASSIGNOR:  
CADE, DOMINIQUE

DOC DATE: 02/24/1999

ASSIGNOR:  
HE, XIONGWEI

DOC DATE: 02/11/1999

ASSIGNEE:  
WARNER-LAMBERT COMPANY  
201 TABOR ROAD  
LEGAL DIVISION-PATENTS  
MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 09221139  
PATENT NUMBER:

FILING DATE: 12/24/1998  
ISSUE DATE:

9835/0825 PAGE 2

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RE

03-26-1999

et No.: 6512-11-CWA

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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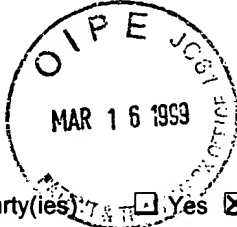
To the Honorable Commissioner of Patents a

100994798

and original documents or copy thereof.

1. Name of conveying party(ies):

SCOTT, Robert A.  
CADE, Dominique  
HE, Xiongwei



3.16.99  
mru

Additional names(s) of conveying party(ies): ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Warner-Lambert Company

Internal Address: Legal Division - Patents

Street Address: 201 Tabor Road

City: Morris Plains State: NJ ZIP: 07950

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: R.Scott 2/24/99; D.Cade & X.He 2/11/99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: December 24, 1998

A. Patent Application No.(s)

B. Patent No.(s)

09/221,139

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rose Armstrong

Internal Address: Warner-Lambert Company

Legal Division, Patents

03/25/1999 JSHABAZZ 00000052 230450 09221139

01 FC:581

Street Address: 201 Tabor Road

City: Morris Plains, State: NJ ZIP: 07950

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

23-0450

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles W. Almer

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

5